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05/24/01

PTO/SB/05 (03-01)

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 25220.411A-US02

First Inventor Kenton T. Davis

Title ELEMENT MANAGEMENT SYSTEM FOR  
HETEROGENEOUS TELECOMMUNICATIONS NETWORK

Express Mail Label No.

U.S. PTO  
0986 09/18/2001  
05/24/01**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original, and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages 43]  
*(preferred arrangement set forth below)*  
 - Descriptive title of the invention  
 - Cross Reference to Related Applications  
 - Statement Regarding Fed sponsored R & D  
 - Reference to sequence listing, a table, or a computer program listing appendix  
 - Background of the Invention  
 - Brief Summary of the Invention  
 - Brief Description of the Drawings (*if filed*)  
 - Detailed Description  
 - Claim(s)  
 - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 5]
5. Oath or Declaration [Total Pages 4]
- a.  Newly executed (original or copy)
- b.  Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)  
i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

Box Patent Application  
ADDRESS TO: Commissioner for Patents  
Washington DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)
8. Nucleotide and/or Amino Acid Sequence Submission  
(*if applicable, all necessary*)  
 a.  Computer Readable Form (CRF)  
 b. Specification Sequence Listing on:  
i.  CD-ROM or CD-R (2 copies); or ii.  paper  
 c.  Statements verifying identity of above copies

**ACCOMPANYING APPLICATIONS PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 CFR 3.73(b) Statement  Power of Attorney  
(*when there is an assignee*)
11.  English Translation Document (*if applicable*)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
(*Should be specifically itemized*)
15.  Certified Copy of Priority Document(s)  
(*if foreign priority is claimed*)
16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent.
17.  Other: **Verified Statement (Declaration) Claiming Small Entity Status (37 CFR 1.9(f) and 1.27(b))-Independent Inventor;**

18. If a CONTINUATING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

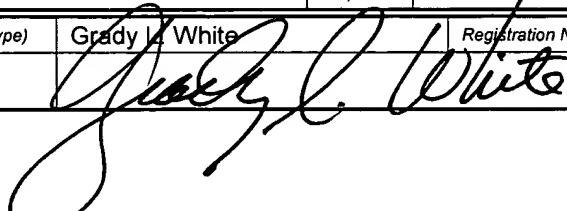
 Continuation  Divisional  Continuation-in-part (CIP) of prior application No.: 09/288,622

Prior application information: Examiner K. Coulter Group / Art Unit: 2154

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**19. CORRESPONDENCE ADDRESS**

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	26853	or <input type="checkbox"/> Correspondence address below
Name		
Address		
City	State	Zip Code
Country	Telephone	Fax

Name (Print/Type)	Grady L. White	Registration No. (Attorney/Agent)	
Signature			Date
			May 24, 2001



Docket No.: 25220.411A.US02  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**



In re Patent Application of:  
Kenton T. Davis, *et al.*

Application No.: Not Yet Assigned

Group Art Unit: N/A

Filed: May 24, 2001

Examiner: Not Yet Assigned

For: ELEMENT MANAGEMENT SYSTEM FOR  
HETEROGENEOUS  
TELECOMMUNICATIONS NETWORK

**TRANSMITTAL LETTER**

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced  
Patent Application:

1. Patent Application Initial Information Data Sheet;
2. Transmittal-New Utility Patent Application (1 page);
3. Application comprising: 39 pages of description; three pages of claims (10 claims); one page abstract; and five sheets of drawings (Figs. 1-5);
4. Copy of Declaration for Patent Application from prior Appl. No. 09/288,622;
5. Copy of Power of Attorney from prior Appl. No. 09/288,622;
6. Copies of the Verified Statements (Declaration) Claiming Small Entity Status (37 CFR 1.9(f) and 1.27(b)(c)) from prior Appl. No. 09/288,622;

7. Preliminary Amendment;
8. Information Disclosure Statement and Form PTO-1449; and
9. Two return receipt postcards.

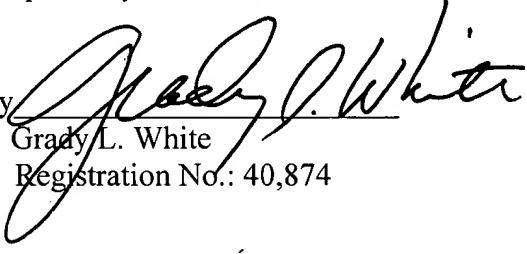
It is respectfully requested that, of the two attached postcards, one be stamped with the filing date of these documents and returned to our courier, and the other, prepaid postcard, be stamped with the filing date and unofficial application number and returned as soon as possible.

This patent application is being submitted under 37 C.F.R. § 1.53(b) without filing fee.

Dated: May 24, 2001

Respectfully submitted,

By

  
Grady L. White  
Registration No.: 40,874

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Enclosures